

Metavante Regulatory Services Consulting

Compliance Consulting for Your Institution

For years, Metavante Regulatory Services has been a leading educator and advisor in banking compliance. Now we also offer compliance consulting that can meet the needs and resources of any financial institution, from a de novo to a multi-million-dollar holding company. No matter what your compliance needs may be, we have a solution for you.

COMPLIANCE CONSULTING THAT ACTUALLY SAVES YOU MONEY!

Our Compliance Risk Assessments are more than just compliance reviews. They allow us to get a better understanding of your institution in order to:

- Identify compliance vulnerabilities and help you take action to correct them
- Recommend changes that can increase the effectiveness and efficiency of your compliance program
- Implement compliance practices and techniques that can save you money and generate revenue for your institution
- Provide you with an action plan for changes and improvements that can be made, as well as recommend reviews, monitoring, and audits that should be performed through the next 12 months

LOOKING FOR A COMPLIANCE REVIEW OR AUDIT?

Metavante Regulatory Services can help there, too, with compliance reviews of any areas of the institution that you choose, as frequently as you like. Even for institutions with the most organized and effective BSA and compliance programs, it's a great opportunity to get another perspective and double check that everything is running smoothly. Our experts can also provide independent testing of essential functions like your compliance program or BSA program.

LET THE EXPERTS HELP YOU DEVELOP YOUR PROGRAMS AND PROCEDURES

Metavante Regulatory Services can help you establish or restructure your compliance or Bank Secrecy Act (BSA) program. You may find these services particularly helpful if:

- You want a strong compliance program without the expense of hiring a compliance officer
- You're a de novo in need of a compliance or BSA program
- You're an established institution that's rapidly outgrowing its existing compliance or BSA program
- Your institution just hired a new compliance or BSA officer, or you're dealing with the aftermath of a compliance or BSA officer leaving
- You need help establishing a compliance committee
- You want to establish a review or quality assurance program to identify and correct violations of your institution's policies and procedures

Perhaps you have more specific needs due to common problems such as a high turnover of tellers and customer service representatives, lenders who are difficult to rein in, or variations in your institution's practices from branch to branch or employee to employee. Metavante Regulatory Services can help you by creating policies and procedures that:

- Are easy for staff to understand and apply
- Help staff act consistently and compliantly
- Are easy for compliance staff to communicate to staff
- Make it easier for compliance or audit staff to review the institution's operations for compliance

We can also help you develop policies and procedures to meet a specific regulatory need, such as an Identity Theft Protection Program, Overdraft Program, or a program that handles the regulatory credit card rules that apply when you provide card access to a Home Equity Line of Credit.

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OTHER COMPLIANCE SERVICES FROM METAVANTE REGULATORY SERVICES

Premium Membership - Compliance Support at Your Fingertips

Banking compliance is changing every day, but you're not alone in your effort to keep pace. Turn to our compliance experts for the practical, timely, and accurate federal banking compliance advice you've been looking for. Our Premium Membership provides a number of outstanding benefits, including:

- Unlimited access to our compliance experts
- Unlimited review of your institution's policies, disclosures, advertising, and Web site
- Access to our e-mail alert system, to keep you up to speed on changes in compliance
- Free copies of our renowned Metavante Regulatory Services Compliance Manual
- Access to our exclusive Web site, with free online compliance tools and advice
- Significant discounts on training services and reference materials

Comprehensive Compliance Education

For almost 20 years, we've trained bankers, examiners, and other professionals around the country on the essential aspects of federal banking compliance. Our educational sessions include:

- Compliance seminars and workshops to provide foundational and continuing education for compliance professionals at all levels of experience
- Web conferences and Training on Demand to keep you informed of changes in compliance
- CD-ROM training programs that allow you to deliver effective training on the topics you choose at times and places that are convenient for you
- Customized on-site or Web-based compliance training that's tailored to the needs of your bank
- Board of Director training to help your directors understand their regulatory obligations

Compliance Tools Used by the Federal Regulators

The FDIC, Federal Reserve, and OCC, as well as thousands of bankers and other professionals around the country, utilize our Quick Reference Guides to provide a plain-language explanation of some of the most difficult compliance issues. We also make your life easier with our new automated compliance tools, which cover topics such as flood insurance, loan disclosures, and escheatment.



WHO IS METAVANTE REGULATORY SERVICES?

Metavante Regulatory Services (formerly Kirchman Regulatory Service) has been one of the nation's leading compliance advisors for almost 20 years. Thousands of financial institutions count on our compliance experts to gain a clear understanding of federal compliance issues. We publish the renowned Metavante Regulatory Services Compliance Manual, one of the most authoritative, respected, comprehensive, and widely used guides to banking laws and regulations in the industry, and we are the only banking advisor available through Westlaw®, the premier online legal research tool. It's our combination of compliance expertise and outstanding, personal customer service that has made Metavante Regulatory Services the source that thousands of bankers and compliance professionals, including the Federal Reserve, FDIC and OCC, turn to for answers to their compliance questions.

CONTACT US

For more information, call us at 1-800-547-2462 or visit us online at www.MetavanteRegulatoryServices.com.

